



JSC "RIETUMU BANKA"
 VESETAS 7 / RIGA
 LV-1013 / LATVIA
 REG. No. 40003074497 / RTMBLV2X
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 info@rietumu.lv
 www.rietumu.com

CLIENT REGISTRATION CARD PRIVATE INDIVIDUAL

Date / / 20

Approved by the Board of JSC "Rietumu Banka", Minutes No. 36, 21.10.2019

COMPLETE IN BLOCK CAPITALS

Name Surname

Passport / Identity document No.

Residential address:
(street, house, flat)

City Country Postal code

Correspondence address:
(street, house, flat)

City Country Postal code

Contact phone +
(country code) Email

Place of birth: Country

Province, state, region / district, town, settlement

Client's social status / employment:

- | | |
|--|-------------------------------------|
| <input type="checkbox"/> businessman / business owner | <input type="checkbox"/> student |
| <input type="checkbox"/> self-employed / individual entrepreneur | <input type="checkbox"/> housewife |
| <input type="checkbox"/> senior manager / top manager | <input type="checkbox"/> unemployed |
| <input type="checkbox"/> salaried employee | <input type="checkbox"/> pensioner |

Specify:

name of organisation

type of organisation: commercial state non-governmental organisation

activity area of organisation

country of registration share ownership % (for businessman / business owner)

Please specify sources of funds:

- | | |
|---|--|
| <input type="checkbox"/> salary / royalties / rewards | <input type="checkbox"/> interest receivable and dividends |
| <input type="checkbox"/> other <input type="text"/> | <small>(please specify)</small> |

Income received from: rent private property sale
 capital share (stocks) sale inheritances / gifts

Declaration in accordance with U.S. FATCA

I hereby certify that: I am not I am

a citizen and/or a tax resident of the United States of America (USA)

Are/were you or members of your family classified as politically exposed persons¹ or members of the family of a politically exposed person², or persons closely related to a politically exposed person³?

- No
 Yes (please fill out the Politically exposed person's identification card)

X

(Client's signature)

Reason for opening the current account with the JSC "Rietumu Banka" (Riga, the Republic of Latvia). Please specify:

Description of the planned transactions on the current account:

Payment types: salary / royalties / rewards interest receivable and dividends investments
 utility bills payments for goods and services loans
 other _____
(please specify)

Transaction currencies _____ **Average monthly volume of transactions (EUR)** _____

Number of transactions per month _____ **Maximum monthly volume of transactions (EUR)** _____

Volume of payments per month, in % to private individuals _____ to corporate entities _____

Average monthly cash transactions (in %) and average monthly volume of ATM cash withdrawals in % of average monthly volume of transactions _____

Explain the necessity of cash transactions:

personal needs other _____
(please specify)

Average monthly current account balance planned (EUR) _____

¹ **Politically exposed person** – a person who is entrusted or has been entrusted with prominent public functions in the Republic of Latvia, in another EU or EEA Member State or a third country, including the head of the state, the head of administrative unit (municipality) of the state, the head of the government, a minister (a deputy minister or an assistant deputy minister, if such a position exists in the state), a state secretary or another high-ranking official in the government or in the administrative unit (municipality) of the state, a member of the parliament or a similar legislative framework, a member of the governing body (board) of a political party, a judge of the constitutional court, a judge of the supreme court or a judge of another court (member of a judicial institution), a member of the council or of the board of the supreme audit institution, a member of the council or of the board of a central bank, an ambassador, a chargé d'affaires, a high-ranking military officer, a member of the council or of the board of a state-owned enterprise, the head (director, deputy director) of an international organization and a member of the board or a person who holds an equivalent position in that organization.

² **Member of the family of a politically exposed person** – a person who is: a) a spouse of a politically exposed person or a person equivalent to a spouse. A person shall be treated as equivalent to a spouse provided that the laws of the respective country contain a provision for such status, b) a child of a politically exposed person or a child of a spouse of a politically exposed person, or of a person equivalent to a spouse, his/her spouse or a person equivalent to a spouse, c) parents, grandparents and grandchildren of a politically exposed person, d) brothers and sisters of a politically exposed person.

³ **Person closely related to a politically exposed person** – a private individual who is publicly known to have business relationship or other close relationship with a politically exposed person, or who is a shareholder or a participant in the same commercial company with any politically exposed person, and a private individual that is a sole owner of a legal arrangement that is known to be established for the benefit de facto of any politically exposed person.

JSC "Rietumu Banka" is entitled to request and the Client shall timely provide any information and documents, confirming details provided in the Client Registration Card.

The Client undertakes to immediately inform JSC "Rietumu Banka" about any changes in the provided information in writing.

Hereby I confirm that I am the actual Beneficial Owner of the funds in all my accounts and transactions.

X

(Client's signature)

Hereby I confirm that all information provided herein is true.

Hereby I confirm that I am aware of the liability, including criminal responsibility for providing false data or wilful non-disclosure of information.

I acknowledge that I have read and agree to the Client Personal Data Protection Notice (available on the website www.rietumu.com).

Client represented by _____
(name, surname)

X _____
(signature)

Rietumu ID _____ Test-key _____

TO BE COMPLETED BY BANK EMPLOYEE

I confirm the identity and signature of the Client or the Client's Representative

Passport / Identity document No. _____

_____ X _____ Date ____/____/20____
(name, surname) (signature)

Documents are checked by

_____ X _____ Date ____/____/20____
(name, surname) (signature)

Bank's notes _____

Client's CREF _____